

Please type a plus sign (+) inside this box ☈

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY	Attorney Docket No.	SALTER P09AUSD3
PATENT APPLICATION	First Named Inventor or Appln Identified: James N. CURTI, James CHUA and Peter W. SALTER	
TRANSMITTAL  (Only for new nonprovisional applications under CFR 1.53(b))	Title: NASAL CANNULA	
	Express Mail Label No.	EV330995145US

APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patent appln. contents.	Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application P. O. Box 1450 Alexandria, VA 22313-1450
---	---

<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status (see 37 CFR 1.27.)</p> <p>3. <input checked="" type="checkbox"/> Specification (Total Pages) [10] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> <li>• Descriptive title of the invention</li> <li>• Cross References to Related Applications</li> <li>• Statement Regarding Fed sponsored R &amp; D</li> <li>• Reference to Microfiche Appendix</li> <li>• Background of the Invention</li> <li>• Brief Summary of the Invention</li> <li>• Brief Description of the Drawings (if filed)</li> <li>• Detailed Description</li> <li>• Claim(s)</li> <li>• Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Pages) [1]</p> <p>4a. <input checked="" type="checkbox"/> Submission of Formal Drawings (Total Pages) [1]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages) [2]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)</p> <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p>	<p>6. <input type="checkbox"/> Application Data Sheet (see 37 CFR). 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>
<b>ACCOMPANYING APPLICATION PARTS</b>	
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Other: Express Mail Certificate</p>	

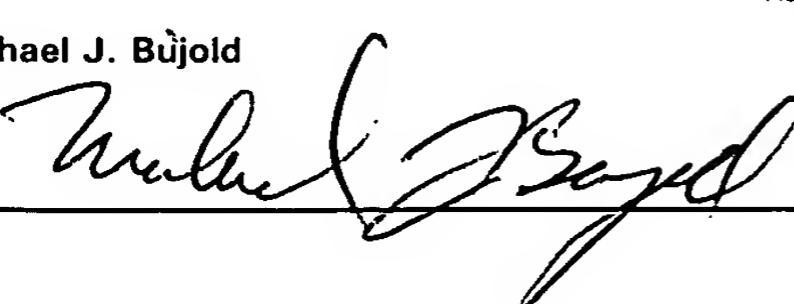
17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-Part (CIP) of prior application No.: 09/837,720

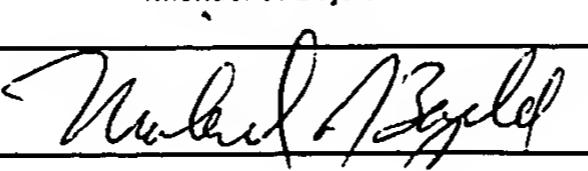
Prior application information: Examiner: Darwin P. Erezon Group/Art Unit: 3761

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

<p><input checked="" type="checkbox"/> Customer Number: 020210</p> <p>Name: DAVIS &amp; BUJOLD, P.L.L.C. 500 North Commercial Street - 4th floor Manchester, NH 03101-1151 United States of America</p> <p>Name: Michael J. Bujold</p> <p>Signature: </p>	<p>PATENT &amp; TRADEMARK OFFICE</p> <p></p> <p>020210</p>	<p><input type="checkbox"/> Correspondence address below</p> <p>Telephone: 603/624-9220</p> <p>Telefax: 603/624-9229</p> <p>E-Mail: patent@davisandbujold.com</p> <p>Registration No.: 32,018</p> <p>Date: July 25, 2003</p>
---	---	--

19972 U.S. PRO  
10/627502  
07/25/03

FEE TRANSMITTAL				Complete if Known				
<p style="text-align: center;">for FY 2003</p> <p>Patent fees are subject to annual revision</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>Application No.</p> <p>Filing Date</p> <p>First Named Inventor</p> <p>Examiner Name</p> <p>Group Art Unit</p> <p>James N. CURTI Darwin P. Erez 3761</p>				
TOTAL AMOUNT OF PAYMENT: \$ 750				Attorney Docket No. SALTER P09AUSD3				
METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)				
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None				<b>3. ADDITIONAL FEES</b>				
<b>■ Deposit Account:</b> Deposit Account Number: 04-0213 Deposit Account Name: DAVIS & BUJOLD, P.L.L.C.				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	
				Fee Description	Fee Paid			
				1051	130	2051	65	Surcharge-late filing fee/oath
				1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet
				1053	130	1053	130	Non-English specification
The Commissioner is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified account.				1812	2,520	1812	2,520	For filing a request for re-examination
				1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
				1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
FEE CALCULATION				1251	110	2251	55	Ext.for reply w/in 1 mon
<b>1. FILING FEE</b>				1252	410	2252	205	Ext.for reply w/in 2 mon
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid			
1001	750	2001	375	Utility filing fee	750			
1002	330	2002	165	Design filing fee				
1003	520	2003	260	Plant filing fee				
1004	750	2004	375	Reissue filing fee				
1005	160	2005	80	Provision filing fee				
				SUBTOTAL (1)	\$750			
<b>2. CLAIMS</b>				1451	1,510	1451	1,510	Petition to institute a public use proceeding
Total Claims	6-20*	Extra	Fee From Below	Fee Description	Fee Paid			
		=-0-	\$18 (\$9)	x	=-0-			
Ind. Claims	2- 3	=-0-	\$84 (\$42)	x	=-0-			
Mult.Ind. Claims		=	\$280 (\$140)	x	=			
** or number previously paid, if greater; For Reissues, see below				1452	110	2452	55	Petition to revive - unavoidable
				1453	1,300	2453	650	Petition to revive - unintentional
				1501	1,300	2501	650	Utility issue fee (for reissue)
				1502	470	2502	235	Design issue fee
				1503	630	2503	315	Plant issue fee
				1460	130	1460	130	Petitions to the Commissioner
				1807	50	1807	50	Petition related to provisional apps.
				1806	180	1806	180	Submission of Info.Disclo.Stmt.
				8021	40	8021	40	Recording ea. patent assignment per property (times No.of properties)
				1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))
				1810	750	2810	375	For ea.additional invention to be examined (37 CFR 1.129(b))
				1801	750	2801	375	Request for Cont.Exam. (RCE)
				1802	900	1802	900	Request for expedited examination of a design application
** or number previously paid, if greater; For Reissues, see above				Other fee (specify)				
				*Reduced by Basic Filing Fee Paid				
				SUBTOTAL (3) \$				
SUBMITTED BY						Completed (if applicable)		
Typed or Printed Name	Michael J. Bujold			Registration Number	32/018		Telephone No. (603) 624-9220	
Signature				Date: July 25, 2003	Deposit Account User ID		04-0213	

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : James N. CURTI, James CHUA and Peter W.  
For : SALTER  
Group Art Unit : NASAL CANNULA  
Examiner : 3761  
Docket : Darwin P. Erezo  
: SALTER P09AUSD3

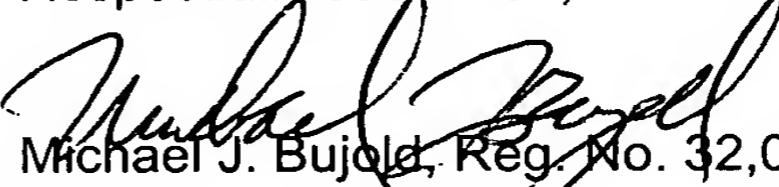
The Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**SUBMISSION OF FORMAL DRAWINGS**

Enclosed please find one (1) sheet of formal drawings which are to be entered in this case.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,

  
Michael J. Bujold, Reg. No. 32,018  
**Customer No. 020210**  
Davis & Bujold, P.L.L.C.  
Fourth Floor  
500 North Commercial Street  
Manchester NH 03101-1151  
Telephone 603-624-9220  
Facsimile 603-624-9229  
E-mail: [patent@davisandbujold.com](mailto:patent@davisandbujold.com)

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : James N. CURTI, James CHUA and Peter W.  
SALTER  
For : NASAL CANNULA  
Group Art Unit : 3761  
Examiner : Darwin P. Erezo  
Docket : SALTER P09AUSD3

The Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

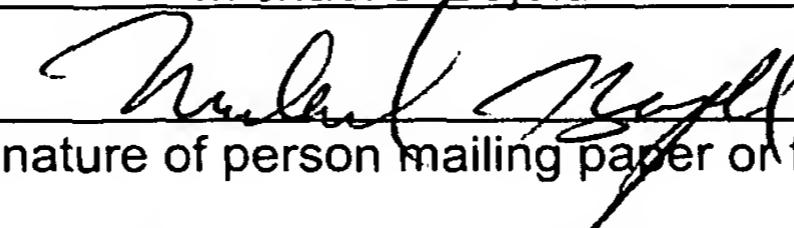
"Express Mail" label number: **EV330995145US**  
Date of Deposit: 7/25/03

I hereby state that the following attached paper or fee:

**Check for \$750;  
Patent Application Transmittal-1 pg.;  
Fee Transmittal Ltr (+Dupl)-1 pg.;  
Specification/Claims/Abstract- 10 pgs.;  
Submission of Formal Drawings-1 pg.; Drawings - 1 pgs.;  
Declaration & Power of Atty- 2 pgs.;  
Information Disclosure Statement-1pg.;  
PTO Form 1449-1 pg.;  
Preliminary Amendment- 10 pgs.;  
Return Postcard**

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, on the date indicated above and is addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

\_\_\_\_\_  
Michael J. Bujold

  
\_\_\_\_\_  
Signature of person mailing paper or fee

NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings, it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.